



Resource Allocation Sub (Policy and Resources) Committee

Date: TUESDAY, 5 SEPTEMBER 2023
Time: 3.00 pm
Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Deputy Christopher Hayward (Chairman)	Alderman Professor Michael Mainelli
Deputy Henry Colthurst (Deputy Chairman)	Deputy Andrien Meyers
Deputy Randall Anderson	Deputy Alastair Moss
Deputy Keith Bottomley	Alderman Sir William Russell
Tijs Broeke	Ruby Sayed
Jason Groves	Tom Sleigh,
Caroline Haines	Deputy Sir Michael Snyder
Deputy Shravan Joshi	Deputy James Thomson
Catherine McGuinness	

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<https://www.youtube.com/@CityofLondonCorporation/streams>

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Ian Thomas CBE
Town Clerk and Chief Executive

AGENDA

1. **APOLOGIES**

2. **MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES**

To agree the public minutes of the Sub-Committee meeting held on 24 May 2023.

For Decision
(Pages 5 - 8)

4. **TERMS OF REFERENCE**

To receive the Sub-Committee's revised Terms of Reference, as agreed by the Court of Common Council at its meeting on 20 July 2023.

For Information
(Pages 9 - 10)

5. **CAPITAL FUNDING UPDATE**

Report of the Chamberlain.

For Decision
(Pages 11 - 24)

6. **REPORT OF ACTION TAKEN BETWEEN MEETINGS**

Report of the Town Clerk.

For Information
(Pages 25 - 26)

7. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

8. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

9. **EXCLUSION OF THE PUBLIC**

MOTION – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of the Schedule 12A of the Local Government Act.

Part 2 – Non-Public Agenda

10. **NON-PUBLIC MINUTES**

To agree the non-public minutes of the Sub-Committee meeting held on 24 May 2023.

For Decision
(Pages 27 - 28)

11. **NOTE OF THE RESOURCE ALLOCATION SUB-COMMITTEE AWAY DAY**

To receive the note of the informal meeting of the Resource Allocation Sub-Committee held on Friday 23 June 2023.

For Information
(Pages 29 - 38)

12. **FUNDING STRATEGY**

To receive a presentation from the Chamberlain and City Surveyor.

For Discussion
(Pages 39 - 62)

13. **COMMUNITY INFRASTRUCTURE LEVY AND ON STREET PARKING RESERVE CAPITAL BIDS (QUARTER 1 - 2023/2024)**

Report of the Interim Executive Director Environment.

For Decision
(Pages 63 - 104)

14. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

15. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

Part 3 – Confidential Agenda – Circulated Separately

16. **RESOURCE ALLOCATION SUB COMMITTEE AWAY DAY OUTCOMES - PEOPLE**
Report of the Chief Operating Officer.

For Decision

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RESOURCE ALLOCATION SUB (POLICY AND RESOURCES) COMMITTEE

Wednesday, 24 May 2023

Minutes of the meeting of the Resource Allocation Sub (Policy and Resources) Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Wednesday, 24 May 2023 at 9.30 am

Present

Members:

Deputy Christopher Hayward (Chairman)	Deputy Shravan Joshi
Deputy Henry Colthurst (Deputy Chairman)	Deputy Andrien Meyers
Deputy Randall Anderson	Ruby Sayed
Deputy Keith Bottomley	Tom Sleigh
Tijs Broeke	Deputy Sir Michael Snyder
Jason Groves	Deputy James Thomson

In Attendance

Caroline Haines (observing online)

Officers:

Ian Thomas	- Town Clerk & Chief Executive
Polly Dunn	- Town Clerk's Department
Caroline Al-Beyerty	- Chamberlain
Juliemma McLoughlin	- Executive Director Environment
Samantha Tharme	- Environment Department
Robert Murphy	- City Surveyor's Department
Jack Joslin	- Bridge House Estates

1. APOLOGIES

Apologies for absence were received from Catherine McGuinness. Caroline Haines gave apologies and observed the meeting virtually.

2. MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were no declarations of interest.

3. MINUTES

The Committee considered the draft public meeting summary of the previous meeting held on 8 March 2023.

RESOLVED – That the public minutes of the meeting held on 8 March 2023, be approved as an accurate record.

4. LOCAL IMPLEMENTATION PLAN FUNDED SCHEMES 2023-24

Members considered a report of the Executive Director Environment regarding the provision of Transport for London (TfL) Local Implementation Plan (LIP) funding to the City of London Corporation for the year 2023/24.

The Chair remarked that the key item for Members to pay attention to was the proposed allocations from TfL to LIP funding, for the Sub-Committee's approval.

RESOLVED – That Members:

- Approve the allocations up to the maximum set out in Table 1 (£556k), for the year 2023/24.
- Authorise the Executive Director Environment, in consultation with the Chairs and Deputy Chairs of the Planning & Transportation Committee and of the Streets & Walkways Sub Committee, to allocate any additional funds which are made available by TfL in 2023/24 financial year.
- Approve to spend any funds awarded for Principal Road Renewal for the year 2023/24.
- Authorise the Executive Director Environment to reallocate the TfL grant between the approved LIP schemes should that be necessary during 2023/24 up to a maximum of £150,000.

5. **COMMUNITY INFRASTRUCTURE LEVY NEIGHBOURHOOD FUND (CILNF) APPLICATIONS FOR APPROVAL**

Members considered a report of the Managing Director of the Bridge House Estate regarding the approval for two new CILNF bids (ARTBASH and the Barbican Association), and the approval for the final tranche of a multi-year bid (Barbican Centre Trust Ltd).

In response to questions from Members, it was confirmed that the Barbican Library would be responsible for managing all of the bookings for the community room, noting that they had worked with a number of third sector organisations and local community groups and would be able to do this more should the bid be successful.

Responding to Members questions on value for money justifications, it was clarified that cost for the project had been generated by the department in consultation with architects and relevant stakeholders. It was noted that some additional inflationary costs had been built in and the project may be finalised using less than the budget.

In response, Members requested additional information on the value for money justification for the community room and clarification on the usage of the room.

RESOLVED – That Members:

1. approve the grant recommended to ARTBASH for £75,210 at a meeting of the CILNF Officer Panel in May 2023 (Appendix 1).
2. delegate authority to the Town Clerk, in consultation with the Chairman and Deputy Chairman, to consider the grant recommended to Department of Community and Children's Services in collaboration with the Barbican Association for £449,550, subject to receipt of further information on the value for money and clarification on usage of the community room.
3. approve the final year of funding of £233,707 to the Barbican Centre Trust Limited, as agreed in principle by the Committee at its meeting 10 May 2021 (Appendix 2).
4. note the current position of the CILNF, the need for a public consultation to be carried out on the fund and approve for the temporary closure of the Fund for grants over £50,000.

6. **REPORT OF ACTION TAKEN BETWEEN MEETINGS**

Members received a report of the Town Clerk regarding actions taken by the Town Clerk outside of the Board's meeting schedule, in consultation with the Chairman and Deputy Chairman, in accordance with Standing Order Nos. 41(a) and (b).

RESOLVED – That the report be noted.

7. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

The Deputy Chairman asked if Officers could review the Officer Scheme of Delegations with the view to reduce the need for frequent of meetings with few items.

In response, the Town Clerk noted that the Scheme of Delegation would be reviewed in the coming months and this revision process may provide more agility.

8. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There were no urgent items considered in the public session.

9. **EXCLUSION OF THE PUBLIC**

RESOLVED – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

10. **NON-PUBLIC MINUTES**

RESOLVED – That the non-public minutes of the meeting held on 8 March 2023, be approved as an accurate record.

11. **CITY FUND - FUNDING STRATEGY 15/17 ELDON STREET EC2 AND 6 BROAD STREET PLACE EC2 REFURBISHMENT PROJECT - UPDATE REPORT**

Members considered a report of the City Surveyor regarding an updated funding plan to pursue the refurbishment project at 15/17 Eldon Street and 6 Broad Street Place.

12. **COMMUNITY INFRASTRUCTURE LEVY AND ON STREET PARKING RESERVE FUNDING BIDS**

Members received a joint report of the Chamberlain and Executive Director, Environment regarding decisions on the Community Infrastructure Levy which were referred to Policy and Resources Committee, on the recommendation of this Sub-Committee.

13. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no non-public questions.

14. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

There were no non-public items of urgent business.

The meeting ended at 9.55 am

Chairman

**Contact Officer: Polly Dunn
polly.dunn@cityoflondon.gov.uk**

Resource Allocation Sub-Committee Terms of Reference

Composition

- Chairman of the Policy and Resources Committee (Chairman)
- Chairman of the Finance Committee (Deputy Chairman)
- The Deputy Chairmen of the Policy and Resources Committee
- The Deputy Chairman of the Finance Committee
- Chairman of the General Purposes Committee of the Court of Aldermen
- The Senior Alderman below the Chair
- The Chairman of the Corporate Services Committee
- Past Chairmen of Policy and Resources Committee providing that they are Members of the Committee at the time.
- Six Members of the Policy and Resources Committee

Terms of Reference

- a) to have power to determine the City Corporation's programme for repairs, maintenance and cyclical replacement of plant & equipment in respect of all operational and noninvestment properties, including the prioritisation of the various schemes and projects;
- b) to determine the appropriate investment proportions between property and non-property assets;
- c) to recommend to the Grand Committee the extent of properties held by the City of London Corporation for strategic purposes, including within the City of London itself;
- d) to recommend to the Grand Committee the allocation of operational property resources for service delivery;
- e) to be the reporting and oversight body for the review of Operational Property;
- f) to be responsible for the effective and sustainable management of the City of London Corporation's operational property portfolio, to help deliver strategic priorities and service needs, including;
 - i. agreeing the Corporate Asset Management Strategy;
 - ii. responsibility for reviewing and providing strategic oversight of the Corporation's Asset Management practices and activities and advising Service Committees accordingly;
 - iii. responsibility for reviewing and providing strategic oversight of the Corporation's Facilities Management practices and activities and advising Service Committees accordingly;
 - iv. to maintain a comprehensive Property Database and Asset Register of information which can be used in the decision making process;
 - v. in line with Standing Orders 53 (Asset Management Plans) and 56 (Disposal of Surplus Properties) and the duties set out within legislation, including the Localism Act 2011 and the Housing and Planning Act 2016, to monitor the effective and efficient use of all operational property assets;
 - vi. oversight of the management of operational leases with third parties, occupation by suppliers and those granted accommodation as benefits-in-kind; and
 - vii. in accordance with Standing Orders 57 and 58, the Sub Committee can make disposals of properties which are not suitable to be retained as investment property assets.

- g) in accordance with thresholds stipulated within Standing Orders 55, 56 and 57, the Sub-Committee can approve acquisitions and disposal of operational properties which are not suitable to be re-use or to be retained as investment property assets.
- h) the power to commission from Service Committees periodic management information on asset management performance including, where relevant:
 - i. third party agreements, income, rent arrears (including HRA)
 - ii. efficiency of operational assets including vacant space and utilisation in accordance with Standing Order 56.
- i) to be responsible for the upkeep, maintenance and, where appropriate, furnishing for operational properties (including the Guildhall Complex) which do not fall within the remit of another Service Committee;
- j) to monitor major capital projects relating to operational assets to provide assurance about value for money, accordance with service needs and compliance with strategic plans;
- k) to consider, at the annual joint meeting of the Resource Allocation Sub-Committee with Committee Chairs and the Efficiency and Performance Working Party, the annual programme of repairs and maintenance works (including surveys, conservation management plans, hydrology assessments and heritage landscapes) planned to commence the following financial year, and to monitor progress in these works (when not included within the Project procedure);
- l) to be responsible for strategies, performance and monitoring initiatives in relation to energy;
- m) to monitor and advise on bids for Heritage Lottery funding;
- n) to provide strategic oversight for security issues across the Corporation's operational property estate; with the objectives of managing security risk; encouraging consistent best practice across the Estate; and, in conjunction with the Corporate Services Committee, fostering a culture of Members and officers taking their responsibilities to keeping themselves and the buildings they occupy secure;
- o) to recommend to the Grand Committee an appropriate allocation of financial resources in respect of the City Corporation's capital and revenue expenditure;
- p) to meet with Chairmen of Service Committees to advise on the status of the City Corporation's budgets and the recommended allocation of financial resources overall and discuss any emerging issues;
- q) to set the annual quantum for each City's Cash and City Fund grants programme (including for City's Cash funded open spaces grants);
- r) to consider the annual performance reports for all grants programmes from the Finance Committee;
- s) to consider funding bids in respect of the Community Infrastructure Levy Neighbourhood Fund of over £50,000; and
- t) to consider and make recommendations in respect of matters referred to it by the Grand Committee including matters of policy and strategy.

Agenda Item 5

Committee(s): Resource Allocation Sub Committee	Date(s): 5 September 2023
Subject: Capital Funding Update	Public
Which outcomes in the City Corporation's Corporate Plan does this proposal aim to impact directly?	The schemes for which funding is now requested span across a range of corporate outcomes
For Bridge House Estates (BHE), which outcomes in the BHE Bridging London 2020 – 2045 Strategy does this proposal aim to support?	
Does this proposal require extra revenue and/or capital spending?	Yes
If so, how much?	£1.104m
What is the source of Funding?	£0.604m from City Fund Reserves, £0.4 from CIL, £0.1m from City's Cash Reserves
Has this Funding Source been agreed with the Chamberlain's Department?	Yes
Report of: The Chamberlain	For Decision
Report author: Yasin Razaq, Acting Group Accountant	

Summary

This report follows on from previous papers on capital prioritisation, the capital review and the 2020/21, 2021/22 and 2022/23 rounds of annual capital bids. There are to be no new bids in 2023/24 but a further round of new bids will be considered for 2024/25.

Members are reminded of the two-step funding mechanism via the annual capital bid process:

- Firstly, within available funding, 'in principle' approval to the highest priority bids is sought and appropriate provisions are set aside in the annual capital and revenue budgets within the MTFPs.
- Secondly, following scrutiny via the gateway process to provide assurance of robust option appraisal, project management and value for money, Members are asked to confirm that these schemes remain a priority for which funding should be released at this time.

The purpose of this report is for Members to consider release (following gateway approvals) to allow schemes to progress.

The approved annual capital bids for 2020/21 currently total £87.1m of which draw-downs of £36.7m have been approved to date. A schedule of the current 2020/21 allocations is included as Appendix 1 for information. It is proposed that unused

funding for Dominant House Footbridge be applied towards forecast overspends on the Guildhall Cooling and Rough Sleepers' Assessment Hub projects. See Table 1 'One-In, One-Out' Proposals.

The second annual bid round for 2021/22 granted in principle funding approval to bids with a current value of £82.5m of which draw-downs of £12.3m have been agreed. A schedule of the current 2021/22 allocations is included in Appendix 2.

The approved annual capital bids for 2022/23 total £26.7m of which draw-downs of £3.6m have been agreed.

Release of £1.104m to allow progression of four schemes summarised in Table 2 'Project Funding Requests' is now requested. Funding for these schemes can be met from the provisions set aside from the reserves of the three main funds via the annual capital bids plus the Fundamental Review for projects which predate the annual bids process.

Projects which have been through the capital review and have been classified as any of the following have been included in this report:

Category	Outcome
1	Project can continue – noting the revised funding allocation.
2	Project can continue – noting the reduced scope
3	Project can continue – but any overspend needs to be covered from external funding sources. This can include ringfenced capital funding pots (e.g. CIL, On-Street Parking Reserve) or external grant funding. No additional funding has been agreed from City Fund or City's Cash budgets.

Recommendations

Resource Allocation Sub Committee Members are requested -

- (i) To review the schemes summarised in Table 2 and, particularly in the context of the current financial climate, to confirm their continued essential priority for release of funding at this time and accordingly:
- (ii) To agree the release of up to £1.104m for the schemes progressing to the next Gateway in Table 2 from the reserves of City Fund (£0.604m), CIL (0.400m) and City's Cash (£0.100m)

Main Report

Background

1. As part of the fundamental review, Members agreed the necessity for effective prioritisation of capital and SRP projects, with central funding allocated in a measured way. This has been achieved via the annual capital bid process which applies prioritisation criteria to ensure that corporate objectives are met, and schemes are affordable.
2. The following criteria against which capital and supplementary revenue projects are assessed have been agreed as:

- i. Must be an essential scheme (Health and Safety or Statutory Compliance, Fully/substantially reimbursable, Major Renewal of Income Generating Asset, Spend to Save with a payback period < 5 years.)
- ii. Must address a risk on the Corporate Risk register, or the following items that would otherwise be escalated to the corporate risk register:
 - a. Replacement of critical end of life components for core services;
 - b. Schemes required to deliver high priority policies; and
 - c. Schemes with a high reputational impact.
- iii. Must have a sound business case, clearly demonstrating the negative impact of the scheme not going ahead, i.e. penalty costs or loss of income, where these are material.

The above criteria were used as the basis for prioritising the annual capital bids and should continue to be applied when consider release of funds.

3. The scope of schemes subject to this prioritisation relates only to those funded from central sources, which include the On-Street Parking Reserve, Community Infrastructure Levy (CIL), flexible external contributions and allocations from the general reserves of City Fund, City's Cash or BHE¹. This means that projects funded from most ring-fenced funds, such as the Housing Revenue Account, Designated Sales Pools and Cyclical Works Programmes *are excluded*, as well as schemes wholly funded from external grants, and tenant/developer contributions e.g. under S278 agreements and S106 deposits.
4. Members are reminded of the two-step funding mechanism via the annual capital bid process:
 - Firstly, 'in principle' approval to the highest priority bids within available funding is sought and appropriate provisions are set aside in the annual capital and revenue budgets and the MTFPs.
 - Secondly, following scrutiny via the gateway process to provide assurance of robust option appraisal, project management and value for money, RASC is asked to confirm that these schemes remain a priority for which funding should be released at this time.

Current Position

5. From the 2020/21 bid round, central funding of £87.1m is currently allocated for new capital bids across the three main funds. To date, £37.4m has been drawn down to allow 37 of these schemes to be progressed. A schedule of the current 2020/21 allocations is included in Appendix 1 for information.
6. Central funding of a further £82.5m across the three main funds for the 2021/22 new bids is currently allocated, of which drawdowns of £12.8m has been approved in respect of 19 schemes. A schedule of the 2021/22 allocations is included in Appendix 2 for information.

¹ Contributions from Bridge House Estates are limited to its share of corporate schemes such as works to the Guildhall Complex or corporate IT systems and are subject to the specific approval of the Bridge House Estates Board.

Central Funding of £26.7m has been agreed for the 2022/23 new bids of which draw-downs of £4.2m have been approved in respect of 12 schemes. A schedule of the 2022/23 allocations is included in Appendix 3 for information.

7. In addition, there are a small number of ongoing schemes for which funding was allocated as part of the Fundamental Review (such as Wanstead Park Ponds).
8. All schemes in this report have been through the capital review as part of a reprioritisation and value-engineering exercise to mitigate the effects of significant inflationary pressures. These pressures need to be carefully managed over the short to medium term to prevent a potential significant overspend. In instances where capital projects are approved assuming any element of external funding, risks must be managed to prevent additional unplanned cost pressures impacting on central funding.

Current Requests for the Release of Funding

9. There are four schemes with 'in principle' funding approved as part of the capital bids or the Fundamental Review that have progressed through the gateways, for which release of up to £1.104m is requested:

Table 2: Project Funding Requests		Next Gateway	Funding Status	Capital Bid Round	City Fund	City's Cash	Bridge House Estates	Total
Funding to progress to the next gateway								
	I.T - Rationalisation of Financials, HR & Payroll Systems (ERP project)	GW4		2020/21	0.128			0.128
	SVY - Riverbank House, Swan Lane - repairs to foreshore river defence	GW5		2021/22	0.328			0.328
	BEMS Upgrade Phase 2 - Heathrow Animal Reception Centre and various OS sites at Epping	GW3		2022/23	0.148	0.100		0.248
	Secure City Programme 2022/23- Progress the Secure Management System	GW6- SMS		2022/23	0.400			0.400
								1.104
Total Requested Release of Funding					1.004	0.100	-	1.104

10. Further details of the individual schemes are provided in Appendix 4 attached.
11. In accordance with step two of the capital funding mechanism, Members will wish to confirm that these schemes remain a priority for funding to be released at this time particularly in the context of the current financial climate.
12. Funding for these schemes can be met from the provisions set aside from the reserves of the City Fund £0.604m, £0.400m from CIL and £0.100m from City Cash.

Conclusion

13. Members are requested to:
 - 1) review the above and consider in the context of the completion of the capital review and the current financial climate their continued support for the schemes requesting internal resources to proceed, and;
 - 2) approve the associated release of funding in Table 2.

Appendices

Appendix 1 - 2020/21 Approved Bids

Appendix 2 - 2021/22 Approved Bids

Appendix 3 - 2022/23 Approved Bids

Appendix 4 - Requests for Release of Funding – Scheme Details

Background Papers

- Annual Capital Prioritisation Report, 12 December 2019 (Non-Public).
- Prioritisation of Remaining 2020/21 Annual Capital Bids (Deferred from December 2019 Meeting), 23 January 2020 (Non-Public)
- Re-prioritisation of 2020/21 Approved Capital Bids, 18 September 2020 (Non-Public)
- Capital Funding – Prioritisation of 2021/22 Annual Capital Bids – Stage 2 Proposals, 10 December 2020 (Public)
- Capital Funding – Prioritisation of 2022/23 Annual Capital Bids – Stage 2 Final Proposals
- Capital Review 2022 – final recommendations to RASC

Yasin Razaq

Capital & Projects Manager

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Appendix 1

Approved Bids 2020/21				THIS REPORT	THIS REPORT			
Project Name	City Fund £'m	City's Cash £'m	BHE £'m	Total Funding Allocation £'m	Funding Allocation After Re- prioritisation	Release of Funding Previously agreed	Reallocation of Funding now requested	Release of Funding now requested
Critical End of Life Replacement								
Barbican Replacement of Art Gallery Chiller	0.300	-	-	0.300	0.300	0.018		
Car Park - London Wall Joints and Waterproofing	2.000	-	-	2.000	2.000	-		
Car Park - Hampstead Heath, East Heath Car Park Resurface	-	0.415	-	0.415	0.415	0.387		
Central Criminal Court - Replacement for Heating, Cooling and Electrics for the East Wing Mezzanine including the sheriff's apartments.*****	1.000	-	-	1.000	0.626	0.626		
Finsbury Circus Garden Re-instatement	2.558	-	-	2.558	2.558	2.542		
Guildhall - North and East Wing Steam Generator replacement – including Art Gallery	0.744	0.396	0.060	1.200	0.002	0.002		
Guildhall - West Wing - Space Cooling - Chiller Plant & Cooling Tower Replacement *****	1.860	0.990	0.150	3.000	4.702	4.554		
Guildhall event spaces - Audio & Visual replacement / upgrade	-	0.330	-	0.330	0.330	0.045		
Guildhall Yard - Refurbishment/ Replacement of Pavours	-	3.000	-	3.000	3.000	-		
I.T - Computer Equipment rooms (CER) Uninterrupted Power Supplies (UPS)Upgrades and Replacements	0.090	0.100	0.010	0.200	0.200	0.200		
I.T - Essential Computer (Servers) operating system refresh programme	0.068	0.075	0.008	0.151	0.095	0.095		
I.T - Personal device replacement (Laptops, Desktops and tablet/mobile device)	1.013	1.125	0.112	2.250	2.250	2.250		
I.T - Rationalisation of Financials, HR & Payroll Systems (ERP project)	2.654	2.949	0.295	5.898	9.800	0.554		0.128
I.T - Telephony replacement ***	0.873	0.343	0.034	1.250	-	-		
LMA : Replacement of Fire Alarm, Chillers and Landlords Lighting and Power	1.397	-	-	1.397	1.397	0.145		
Oracle Property Management System Replacement	0.713	0.380	0.058	1.151	1.151	1.150		
Structural - Lindsey Street Bridge Strengthening	5.000	-	-	5.000	5.000	0.030		
Structural - Dominant House Footbridge*****	1.025	-	-	1.025	0.575	0.575		
Structural - West Ham Park Playground Refurbishment	-	1.279	-	1.279	1.279	0.863		
Fully or substantially reimbursable								
Barbican Turret John Wesley High Walk	0.043	-	-	0.043	0.043	0.043		
Chingford Golf Course Development Project	-	0.075	-	0.075	0.075	-		
High Profile Policy Initiative								
Bank Junction Transformation (All Change at Bank)	4.000	-	-	4.000	4.000	4.000		
Culture Mile Implementation Phase 1 incl CM experiments and Culture Mile Spine	0.580	-	-	0.580	0.580	0.580		
I.T - Smarter working for Members and Officers	0.113	0.125	0.013	0.251	0.185	0.185		
Rough Sleeping - assessment hub*****	1.000	-	-	1.000	1.196	1.498		
Rough Sleeping High Support Hostel - Option 3	0.500	-	-	0.500	0.500	0.500		
Secure City Programme	15.852	-	-	15.852	15.852	7.174		
Statutory Compliance/Health and Safety								
Barbican Exhibition Halls	5.000	-	-	5.000	1.549	1.548		
Barbican Podium Waterproofing, Drainage and Landscaping Works (Ben Jonson, Breton & Cromwell Highwalk) Phase 2 – 1st Priority	13.827	-	-	13.827	13.827	2.417		
Covid19 Phase 3 Transportation Response*	-	-	-	-	0.568	0.568		
City of London Primary Academy Islington (COLPAI) temporary site	-	0.300	-	0.300	0.583	0.583		
Golden Lane Lighting and Accessibility	0.500	-	-	0.500	0.500	0.500		
Guildhall - Great Hall - Internal Stonework Overhaul	-	2.000	-	2.000	2.000	1.740		
Guildhall - Installation of Public Address & Voice Alarm (PAVA) and lockdown system at the Guildhall (Security Recommendation)	0.930	0.495	0.075	1.500	1.500	0.118		
I.T - Critical Security Works agreed by the DSSC **	0.112	0.125	0.013	0.250	-	-		
I.T - GDPR and Data Protection Compliance in addition saving money in being able to share and find information quickly	0.090	0.100	0.010	0.200	0.200	-		
Confined and Dangerous Spaces - Barbican Centre	2.000	-	-	2.000	2.000	0.098		
Confined and Dangerous Spaces - GSMD	-	0.400	-	0.400	0.400	0.019		
Fire Safety - Car Park London Wall - Ventilation, electrics, lighting and fire alarm works	1.370	-	-	1.370	1.370	0.250		
Fire Safety - Works in car parks	1.032	-	-	1.032	1.032	0.699		
Fire Safety - Frobisher Crescent, Barbican Estate (compartmentation) *	0.550	-	-	0.550	1.203	1.203		
Fire Safety - Smithfield sprinkler head replacement and fire door replacement.	-	0.150	-	0.150	0.150	0.020		
Queen's Park Public Toilet Rebuild	-	0.380	-	0.380	-	-		
Spitalfields Flats Fire Door Safety	0.146	-	-	0.146	0.146	-		
Spend to save with a payback < 5 years								
Energy programme of lighting and M&E upgrade works (Phase 1)****	0.440	0.489	0.049	0.978	0.268	0.165		
I.T - GDPR Compliance Project Unstructured data	0.112	0.125	0.013	0.250	-	-		
Wanstead Flats Artificial Grass Pitches (spend to save > 5 years)	-	-	-	-	1.700	-		
The Monument Visitor Centre	-	2.500	-	2.500	-	-		
Total Approved Funding Bids	69.492	18.646	0.900	89.038	87.107	37.444	-	0.128

Previous Funding Allocation	89.038
Net reductions from previous reprioritisation exercise (September 2020)	- 4.032
* Reallocated from the 2021/22 annual bids and fundamental review schemes	0.653
* £0.500m of capital funding foregone in place of revenue funding solution (telephony/security)	- 0.500
*** £0.250m of capital funding foregone in place of a revenue funding solution (telephony/security)	- 0.250
****Reallocation of £0.229m to 2021/22 scheme (BEMS Phase 1)	- 0.229
*****£0.246m of central funding no longer required and returned to the centre	- 0.246
*****£0.374 reallocated to Walbrook Wharf M&E replacement project	- 0.374
***** £0.269 central contingency reallocated to meet increased cost	0.269
***** £0.196m increase at G5 approved under Urgency	0.196
***** £0.450m of central funding no longer required and returned to the centre	- 0.450
Additional amount for ERP (October 2022)	3.032
	87.107

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Appendix 2

Approved Bids 2021/22				THIS REPORT	THIS REPORT			
Project Name	City Fund £'m	City's Cash £'m	BHE £'m	Total Funding Allocation £'m	Latest Funding Allocation after Reprioritisation	Release of Funding Previously agreed	Reallocation of Funding now requested	Release of Funding now requested
Critical End of Life Replacement								
OSD - Tower Hill Play Area Replacement Project	0.120			0.120	0.120	0.120		
SVY - BEMS Upgrade Project-CPG Estate – Phase 1***	0.507	0.375	0.022	0.904	1.133	0.626		
SVY - Smithfield Condenser Pipework Replacement		0.564		0.564	0.564			
CHB - IT SD WAN /MPLS replacement	0.320	0.145	0.035	0.500		0.100		
CHB - IT LAN Support to Replace Freedom Contract	0.096	0.043	0.011	0.150	0.150			
CHB - Libraries IT Refresh	0.220			0.220	0.220			
BBC - Barbican Centre - Catering Block Extraction	0.400			0.400	0.400	0.024		
High Profile Policy Initiative								
DBE - Secure City Programme Year 2	4.739			4.739	4.739	1.700		
SVY - Guildhall Complex Masterplan - initial feasibility and design work		0.350		0.350	0.350	0.350		
Statutory Compliance/Health and Safety								
DCCS - Fire Doors Barbican Estate*	20.000			20.000	19.597	0.275		
SVY - St Lawrence Jewry Church - Essential works (Top-Up Funding)		2.565		2.565	2.565	2.136		
SVY - Denton Pier and Pontoon Overhaul Works	1.000			1.000	1.000	0.050		
OSD - Hampstead Heath Swimming Facilities - Safety, Access and Security Improvements		0.755		0.755	0.755	0.755		
DBE - Public Realm Security Programme	1.238			1.238	1.238	0.027		
DBE - Beech Street Transportation and Public Realm project (Top-Up Bid)	0.900			0.900	0.900	0.191		
MAN - Central Criminal Courts, Fire Safety and associated public address system (Top-up bid)	0.683			0.683	0.683			
MAN - Central Criminal Court Cell Area Ducting and Extract System Balancing	1.000			1.000	1.000	0.220		
SVY - Riverbank House, Swan Lane - repairs to foreshore river defence	0.500			0.500	0.500	0.110		0.328
CHB - Public Services Network replacement	0.064	0.029	0.007	0.100	0.000			
GSMD - Guildhall School - Silk Street Ventilation Heating and Cooling		2.000		2.000	2.000			
GSMD - Guildhall School - Milton Court Correction of Mechanical Systems		0.600		0.600	0.600	0.200		
GSMD - Guildhall School - John Hosier Ventilation and Temperature Control		0.700		0.700	0.700			
CHB - IT Security**	0.192	0.087	0.021	0.300	0.000			
Spend to save with a payback < 5 years								
SVY - Energy Reduction Programme – Phase 2	0.194	0.181		0.375	0.375			
Climate Action	32.173	8.394	0.096	40.663	39.689	6.884	0.000	0.328
Climate Action :								
DBE - Public Realm (Pedestrian Priority)	6.050			6.050	6.050	2.454		
OSD - Climate Action Strategy		2.120		2.120	2.120	0.795		
DBE - Embed climate resilience measures into Public Realm works (Cool Streets and Greening)	6.800			6.800	6.800	2.580		
SVY -Energy Efficiency / Net Zero Carbon - Investment Estate - City Fund	4.340			4.340	4.340			
SVY - Energy Efficiency / Net Zero Carbon - Investment Estate - Strategic Estate City Fund	0.000			-	-			
SVY - Climate Resilience Measures	4.000	0.000		4.000	4.000			
SVY - Climate Action Strategy Projects CPG Operational Properties	11.723	7.138	0.649	19.510	19.510	0.109		
Sub-Total - Climate Action	32.913	9.258	0.649	42.820	42.820	5.938	0.000	-
Total Bids Fulfilling the Funding Criteria	65.086	17.652	0.745	83.483	82.509	12.822	0.000	0.328

Previous Funding Allocation		83.483
£0.403m reallocated as top-up funding for the Frobisher Crescent Fire Compartmentation Project (2020/21 Bid)*		-0.403
£0.300m of capital funding foregone in place of a revenue funding solution (telephony/security)**		-0.300
£0.229 reallocated from savings on Energy Reduction Programme (2020/21 bid)***		0.229
Re-prioritised in June 2022 under 'One in - One out' principle****		-0.500
Latest Funding Allocation		82.509

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Appendix 3

Approved Bids 2022/23	THIS REPORT		THIS REPORT					
	City Fund £'m	City's Cash £'m	BHE £'m	Total Funding Allocation £'m	Funding Allocation After Re- prioritisation	Release of Funding Previously agreed	Reallocation of Funding now requested	Release of Funding now requested
Critical end of life replacement:								
BEMS Upgrade Phase 2 - Heathrow Animal Reception Centre and various OS sites at Epping	0.150	0.100	-	0.250	0.250			0.248
IT - Members IT refresh (to align with new personal device roll-out for staff)	0.192	0.087	0.021	0.300	0.300	0.300		
IT - Managed Service re-provisioning (one-off costs due to end of current contract)*	0.320	0.145	0.035	0.500	1.300	1.300		
IT - Corporate Managed Print Service (one-off costs due to end of current contract)*	0.032	0.015	0.004	0.050	0.000			
IT - Server Upgrade/replacement	0.064	0.029	0.007	0.100	0.100	0.100		
Mansion House - essential roof repairs	-	0.330	-	0.330	0.330			
OS Hampstead Heath - Parliament Hill Athletics Track Resurfacing	-	2.000	-	2.000	2.000		0.276	
Guildhall School - Repairs to roof, expansion joint repairs and drainage and water systems (subject to holistic approach for highways, Barbican and School)	-	1.750	-	1.750	1.750			
Health and Safety/Statutory Compliance:								
Fire Safety - Guildhall Complex Fire Stopping all basement and plant areas	0.202	0.210	0.008	0.420	0.420			
Fire Safety - Baynard House Car Park Sprinklers Replacement (remaining floors)	0.250	-	-	0.250	0.250			
Central Criminal Court: Cells Ventilation - Top-Up bid to meet full scope of statutory requirements. (£1m bid agreed in principle as part of the 2021/22 capital bid round.)	1.000	-	-	1.000	1.000			
OS Epping Forest - COVID-19 Path Restoration Project	-	0.250	-	0.250	0.250			
OS Queen's Park Play Area and Sandpit: replacement of equipment	-	0.055	-	0.055	0.055			
Barbican Centre - Replacement of Central Battery Units for Emergency Lighting system	0.280	-	-	0.280	0.280			
Guildhall School - Rigging infrastructures in Milton Court Concert Hall	-	0.460	-	0.460	0.460			
Guildhall School - Safe technical access and working at height - Silk Street Theatre	-	0.345	-	0.345	0.345			
Smithfield Market - Glass Canopy Overhaul	-	0.300	-	0.300	0.300			
Smithfield Market - East Poultry Avenue Canopy Repairs and Remedial Works	-	0.600	-	0.600	0.600			
Smithfield Car Park - Ceiling Coating and Damp Works	-	1.050	-	1.050	1.050			
Beech Street Transportation and Public Realm project top-up to deliver permanent air quality and associated public realm improvements following successful experiment.	2.500	-	-	2.500	2.500			
DCCS - Social Care Case Management System	0.144	-	-	0.144	0.144			
IT - Building Management System Wired Network to maximise efficiencies of new BEMS systems	0.083	0.038	0.009	0.130	0.130	0.130		
High Priority Policy:								
Secure City Programme - Year 3	8.936	-	-	8.936	8.936			0.400
IT Security*	0.128	0.058	0.014	0.200	0.100	0.100		
Guildhall Complex Masterplan - Redevelopment of North and West Wing Offices (top-up)	-	1.150	-	1.150	1.150		0.25	
Bank Junction Improvements: All Change at Bank - top-up to cover inflation risk of delivering the minimal scheme	0.700	-	-	0.700	0.700	0.700		
IT - HR System Portal required in advance of the new ERP system delivery*	0.160	0.073	0.017	0.250	0.100	0.100		
Walbrook Wharf Feasibility - 2027 and beyond	0.150	-	-	0.150	0.150	0.150		
St Paul's Gyratory - Design Development	0.556	-	-	0.556	0.556			
St Paul's Cathedral External Re-lighting	1.160	-	-	1.160	1.160	0.250		
Total Green Funding Bids	17.007	9.044	0.115	26.166	26.666	4.212	0.000	0.648
Previous Funding Allocation								
Re-prioritised in June 2022 under 'One in - One out' principle*						26.166		
IT - Managed Service re-provisioning (one-off costs due to end of current contract)*						0.300		
						0.800		
						26.666		

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Requests for Release of Funding – Scheme Details

The following provides details of the four schemes for which approval to release central funding of up to £1.104m is now sought, as summarised in Table 2 of the main report.

I.T - Rationalisation of Financial, HR & Payroll Systems (ERP project)– release of £128k to progress the scheme

- Capital Project to provide The City with a fully integrated HR, Payroll, Finance and Procurement solution.
- The original 'In principle' funding was £5.9m was agreed as part of the 2020/21 annual bids process to deliver the project. Increased to £6.8m after reprioritisation. Increase to £9.8m due to inflationary pressures (approved at Resource Allocation Sub Committee on 22/10/22).
- The request is for the release of £89k for next gateway for completion of the procurement process and £39k for costed risk provision. The total budget required to reach 4B is 258k(excluding provision) and includes 169k remaining from GW2.

SVY - Riverbank House, Swan Lane - repairs to foreshore river defence of £328k to progress the scheme

- Refurbishment, replacement or removal of the camp shed in front of the river wall at Riverbank House, Thames Path West. The Environment Agency have notified the City that repairs are required to this structure.
- In principle' central funding from City fund up to £0.5m was approved.
- The request is for the release of £78k for detailed design of the rock armouring of the camp shed plus a £250k costed risk provision to progress the scheme to Gateway 5.

BEMS Upgrade Programme – Phase £248K to progress the scheme

- This is the second phase of the upgrade of the corporate Building Energy Management System (BEMS). This involves the replacement of critical end-of-life components for core services – heating cooling and ventilation and life-safety systems. The BEMS upgrades of the below sites support the Climate Action Strategy (CAS).
- An 'In principle' central funding 'top-up' of £0.25m from City Cash was approved as part of the 2022/23 new bids.
- The request is for the release of £200k to appoint a consultant & principal Contractor via the Minor Works Framework and programme the works on each site with the BEMS Specialist. In addition, a £48k costed risk provision is requested to progress the scheme through gateway 3-5.

Secure City Programme 2022/23- 400k to progress the Secure Management System

- The Secure City Programme (SCP) seeks to establish a stable CCTV security platform and capability that is commensurate with the needs of modern-day security and services across The City.
- Secure City programme is split into five workstreams, one of which is the secure management system.
- Delays to the Video Management System (VMS) project have occurred due to the complexity of the innovative IT architecture. In Nov22, the new projected end date for the programme is Sep24.
- The 400k from CIL funding enables the programme to retain the needed existing staff and consultant resources with the critical IT knowledge to allow the programme to complete.

05/09/2023 P&R Delegated (for RASC)

Committee(s)	Dated:
Resource Allocation Sub-Committee	5 September 2023
Subject: Report of Action Taken Between Meetings	Public
Which outcomes in the City Corporation’s Corporate Plan does this proposal aim to impact directly?	n/a
Does this proposal require extra revenue and/or capital spending?	N
If so, how much?	£n/a
What is the source of Funding?	n/a
Has this Funding Source been agreed with the Chamberlain’s Department?	n/a
Report of: The Town Clerk	For Information
Report author: Rhys Campbell, Governance Assistant	

Summary

This report advises Members of action taken by the Town Clerk outside of the Board’s meeting schedule, in consultation with the Chairman and Deputy Chairman, in accordance with Standing Order Nos. 41(a) and (b).

Recommendation(s)

That Members note the report.

Main Report

Delegated Authority – Community Infrastructure Levy Neighbourhood Fund – Aveo Group Ltd (ref. 20425) and East London Dance (ref. 20426)

1. At its meeting in May 2019, the Policy & Resources Committee agreed a delegated authority policy for applications to the Community Infrastructure Levy Neighbourhood Fund (CILNF). It was agreed a proportion of applications would be determined under delegated authority, whilst retaining the requirement for Committee approval for larger value applications. This included applications between £25,000 and £50,000 – to be delegated to officers, in consultation with the Chairman and Deputy Chairman of the Resource Allocation Sub-Committee.

2. In line with this delegation, the CILNF Officer Panels met, and recommendations made to the Resource Allocation Sub Committee under delegated authority. Under this provision, the following grants were subsequently approved by the Town Clerk in consultation with the Chairman and Deputy Chairman:-

- Aveo Group Ltd (ref. 20425) (approved 17 July 2023)
£49,795 for the delivery of co-design workshops to create vibrant street dressing, celebrating the diverse Aldgate and Portsoken communities, to be showcased throughout Destination City's Bartholomew Fair programme.
- East London Dance (ref. 20426) (approved 17 July 2023)
£49,922 to deliver 3 live participatory dance events for City of London residents and workers in Bartholomew Fair, building a legacy community dance programme co-designed by local residents.
- Urban Learners Ltd (approved 20 June 2023)
£49,250 across one year towards The Sculpture in the City (SITC) Education Outreach and Volunteering Programme 2023, for local state-school pupils, City-worker volunteers, and Family Activities for local communities.

Delegated Authority – Community Infrastructure Levy Neighbourhood Fund – Department of Community and Children's Services in collaboration with the Barbican Association

3. At its meeting on 24 May 2023, the Resource Allocation Sub-Committee agreed to delegate authority to the Town Clerk for the consideration of an application from the Department of Community and Children's Services, in collaboration with the Barbican Association, for the proposed development of a community room at the Barbican Library.
4. Given the value of the bid, the Sub-Committee believed that further information was required before a decision could be taken under the delegation. This included confirmation of square footage and value for money; assurances on the Barbican Centre's involvement; and assurances on community involvement. This information was gathered and shared with the Chairman and Deputy Chairman. Both ultimately supported the bid.
5. Consequently, The Town Clerk, in consultation with the Chairman and Deputy Chairman of the Resource Allocation Sub-Committee, approved the following grant from the CILNF:
 - Department of Community and Children's Services in collaboration with the Barbican Association
£449,550 toward the for Constructing a community room in the Barbican Library

Conclusion

Background papers for Members are available from Rhys Campbell on the email address provided below.

Rhys Campbell

Governance Assistant, Town Clerk's Department
Email: rhys.campbell@cityoflondon.gov.uk

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